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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Dennis *et al.*

Appln. No.: 10/679,581

Filed: October 6, 2003

For: Novel Microemulsion and Micelle  
Systems for Solubilizing Drugs

Attorney Docket No: 11509/20

Examiner: Webman, Edward J.

Art Unit: 1616

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

U.S. Patent Documents		
DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
US 5342625	Aug 30, 1994	Hauer
US 6121313	Sept 19, 2000	Gao
US 6063762	May 16, 2000	Hong
US 5932243	Aug 3, 1999	Fricker
US 6284268	Sept 4, 2001	Mishra
US 6241969	Jun 5, 2001	Saidi
US 6743436	Jun 1, 2004	Lee
US 2002/01087291	Aug 8, 2002	De Tommaso
US 2004/0067919	Apr 8, 2004	Jee
US 6383471	May 7, 2002	Chen

Foreign Patent Documents		
DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
WO 02/45709	Jun 13, 2002	PCT
WO 00/40219	Jul 13, 2000	PCT

Other Art
Handbook of Pharmaceutical Excipients, 3 <sup>rd</sup> ed., Bettini, R; Cocconi, D; Kibbe HA (Editor), Pharmaceutical Press, London 2000. pp 230, 231, 420-423.
Shinoda and Kunieda, "How to Formulate Microemulsions with Less Surfactant: Microemulsions, Theory and Practice, Editor: Leon M. Price (1997)

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

October 23, 2007  
Date

John Murray  
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